

Financial crime symposium

In 2012 the Financial Action Taskforce and the European Commission will be issuing new requirements for the private sector in fighting financial crime.

The financial crime symposium 2012, hosted by the Law Society of England and Wales, is your chance to discuss the future of anti-money laundering compliance with the legislators and policy makers who will be drafting the new requirements.

Why attend?

This symposium is essential for those who want to understand why the financial crime requirements are currently framed as they are and would like to be involved in influencing how they will be framed in the future. This is an event for those who want to ensure that their compliance efforts really do make a difference.

The symposium brings together policy makers, law enforcement academics and experts in the industry, to look at whether there is evidence to support the current policy approaches or whether a fresh approach is required to effectively combat financial crime.

Our speakers from government and law enforcement agencies will share with you the criminal methodologies, risks and loopholes that they are seeking to address. The tailored sessions will give delegates the opportunity to share their practical experiences and suggest solutions for incorporation into the new requirements.

Topics to be covered:

- Whether financial crime laws are properly targeting the risks in your sector
- Assessing the risk of financial crime
- The implications of the new Data Protection Regulation on financial crime prevention
- Whether current supervisory approaches are self-reinforcing
- Whether due diligence requirements can really be applied proportionately

Who should attend?

- Money laundering reporting officers and people responsible for financial crime prevention from the financial; legal; accountancy; gaming and high value sectors
- Policy officials; regulators; supervisors and law enforcement involved in developing or enforcing financial crime policies, laws and regulations
- Academics with an interest in financial crime

Early booking discount:
Book your place on the
'Financial crime symposium'
conference before 1 April 2012
and save £50



The Law Society

Financial crime symposium

15 May 2012
The Law Society
London
6.5 CPD hours



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Programme



8.45 - 9.15	Registration
9.15 - 9.30	Welcome
9.30 - 10.30	Plenary 1: Future directions in anti-money laundering and counter terrorist financing regulation <i>Speakers:</i> <ul style="list-style-type: none"> Valerie Schilling, <i>Financial Action Taskforce</i>; Tobias Mackie, <i>DG, Internal Market, European Commission</i>; <i>Other speakers to be confirmed</i>
10.30 - 11.30	Breakout session A: Targeting financial crime prevention A1 Legal professional privilege - safeguard or smokescreen? <ul style="list-style-type: none"> Tobias Mackie, <i>DG, Internal Market, European Commission</i>; Suzie Ogilvie, <i>Freshfields Bruckhaus Derringer LLP</i>; Hennie Verbeek-Kusters, <i>Netherlands Financial Intelligence Unit</i> A2 Luxury goods, art and antiques - do financial criminals really target high value dealers? <ul style="list-style-type: none"> Michael Lyttle, <i>HMRC</i>; <i>Other speakers to be confirmed</i> A3 Is financial crime prevention in the gambling sector more than a matter of chance? <ul style="list-style-type: none"> Clive Hawkwood, <i>Remote Gambling Association</i>; <i>Other speakers to be confirmed</i> A4 Is the risk-based approach sufficiently tailored for small to medium sized professional service firms? <ul style="list-style-type: none"> Felicity Banks, <i>Institute of Chartered Accountants England and Wales</i>; Garry Carter, <i>Institute of Certified Bookkeepers</i>; Nicola Boulton, <i>Byrne & Partners</i> A5 Information obligations in the banking sector - a critical tool in the fight against financial crime or information overload? <ul style="list-style-type: none"> Valerie Schilling, <i>Financial Action Taskforce</i>; <i>Other speakers to be confirmed</i>
11.30 - 12.15	Plenary 2: Privacy and data protection - are they casualties in the fight against crime? <ul style="list-style-type: none"> Jonathan Bamford, <i>UK Information Commissioner's Office</i>
12.15 - 13.15	Networking lunch
13.15 - 14.00	Plenary 3: The anti-money laundering industry - an effective public / private relationship or an unhealthy case of regulatory capture? <i>Speakers:</i> <ul style="list-style-type: none"> Professor Jackie Harvey, <i>Northumbria University</i>; Bob Ferguson, <i>Financial Services Authority</i>; Stephen Gentle, <i>Kingsly Napley LLP</i>

14.00 - 15.00	Breakout session B: Can client due diligence be effective and proportionate? B1 Are all politically exposed persons really high risk? <ul style="list-style-type: none"> Robert Palmer, <i>Global Witness</i>; Emile van der Does de Willebois, <i>World Bank</i>; Dr Justine Walker, <i>British Bankers Association</i>; <i>Rothschild (speaker to be confirmed)</i> B2 Trusts - a legitimate business tool or a launderers dream? <ul style="list-style-type: none"> Clive Cutbill, <i>Withers Worldwide LLP</i>; Wendy Walton, <i>BDO</i>; <i>Other speakers to be confirmed</i> B3 Beneficial ownership - what is the justification? <ul style="list-style-type: none"> Valerie Schilling, <i>Financial Action Taskforce</i>; Alison Matthews, <i>Irwin Mitchell LLP</i>; <i>Other speakers to be confirmed</i> B4 Equivalence - a political minefield or a critical requirement for proportionality? <ul style="list-style-type: none"> Karen Silcock, <i>Deloitte</i>; Tobias Mackie, <i>DG, Internal Market, European Commission</i>; Amasis Saba, <i>Berwin Leighton Paisner LLP</i>
15.00 - 15.15	Refreshments
15.15 - 16.15	Breakout session C: Regulatory approaches and consequences C1 Global financial crime policies - effective compliance or a race to the gold plated top? <ul style="list-style-type: none"> Tobias Mackie, <i>DG, Internal Market, European Commission</i>; David Norman, <i>Betfair</i>; Nick Cray, <i>Hogan Lovells</i> <i>Other speakers to be confirmed</i> C2 Jurisdiction of choice - do stringent anti-money laundering and anti-corruption laws promote or harm legitimate competition? <ul style="list-style-type: none"> Professor Michael Levi, <i>Cardiff University</i>; Patrick Moulette, <i>OECD</i>; <i>Other speakers to be confirmed</i> C3 Sanctions - fighting crime or pursuing political ends? <ul style="list-style-type: none"> Zia Ullah, <i>Pannone LLP</i>; <i>Other speakers to be confirmed</i> C4 Suspicious activity reporting regimes - which approach makes the most difference in the fight against crime? <ul style="list-style-type: none"> Hennie Verbeek-Kusters, <i>Netherlands Financial Intelligence Unit</i>; James Russell Mitra, <i>UK Financial Intelligence Unit</i>; <i>Other speakers to be confirmed</i>
16.15 - 17.00	Plenary 4: Risk assessments - evidence based or a best guesstimate? <i>Speakers:</i> <ul style="list-style-type: none"> Bob Ferguson, <i>Financial Services Authority</i>; David Artingstall, <i>John Howell and Company Ltd</i>; <i>Other speakers to be confirmed</i>
17.00 - 17.15	Closing remarks
17.15 - 19.00	Drinks reception

Booking form

Financial crime symposium
15 May 2012. The Law Society, London

For enquiries, please telephone 020 7316 5700 or email events@lawsociety.org.uk

To book and pay

Online

To register for this event online, please go to www.lawsociety.org.uk/events. Select the event you want to attend and click on the 'register now' tab. We recommend you pay online by credit/debit card to guarantee your place at this event immediately. If you choose to pay by cheque, please send payment, with your booking details attached, within 10 days of making the booking. Your booking is not guaranteed until we have received payment. If we do not receive your payment within 10 days of your online booking, your place will be released without notice.

By post

To register please complete the form below in CAPITAL LETTERS and return it with a cheque made payable to The Law Society to: Law Society Operations Admin, 113 Chancery Lane, London WC2A 1PL, DX 56 Lon/Chancery Ln.

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Title: _____ First name: _____ Surname: _____

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Breakout session preferences (please give your first and second choice options).

A1 <input type="checkbox"/>	A2 <input type="checkbox"/>	A3 <input type="checkbox"/>	A4 <input type="checkbox"/>	A5 <input type="checkbox"/>
B1 <input type="checkbox"/>	B2 <input type="checkbox"/>	B3 <input type="checkbox"/>	B4 <input type="checkbox"/>	
C1 <input type="checkbox"/>	C2 <input type="checkbox"/>	C3 <input type="checkbox"/>	C4 <input type="checkbox"/>	

Registration fee

	Early booking fees before 1 April 2012:	Registration fee after 2 April 2012:
PC Holders working for a NFP	£145 + VAT = £174.00	£195 + VAT = £234.00
Risk and compliance and Law Management members	£195 + VAT = £234.00	£245 + VAT = £294.00
PC Holder	£295 + VAT = £354.00	£345 + VAT = £414.00
Non PC Holder	£395 + VAT = £474.00	£445 + VAT = £534.00

Total payment £

Joining instructions will be sent by email two weeks before the event. VAT is charged at 20%.

Access, dietary or other requirements:

Please advise if you have any additional requirements: _____

Office Use:

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- No refunds given for cancellations that occur within two weeks of the event date.
- You may substitute a colleague without charge, provided you confirm their full name in writing before the day of the event.
- The delegate and the firm/organisation are jointly and severally liable for payment of the fees due.
- The Law Society can accept no liability for any loss suffered by any person acting or refraining from action as a result of the material delivered during or in connection with the event.
- Acceptance by the Law Society of your booking will give rise to a legally binding contract between us on these terms and conditions.