



The Law Society

Anti-money laundering Annual Conference 2009 A Practitioner's Perspective

Thursday 21st May
Kingsway Hall Hotel
66 Great Queen Street
London WC2B 5BX

6 hours CPD

aml
event

Anti-money laundering Annual Conference 2009

A Practitioner's Perspective

Overview

The Law Society's Money Laundering Taskforce work on behalf of the profession to influence the anti-money laundering regime imposed by the Financial Action Taskforce, the EU and the UK government through:

- Making representations during the passing of legislation
- Making representations to inquiries considering law reform in this area
- Participation in various forums with law enforcement and policy makers which consider the practical application of the regime
- Providing advice and support on compliance through the practice note and other Law Society services.

Our Taskforce Members are also actively involved in the day-to-day compliance with anti-money laundering obligations undertaken by their own practices.

This is the first conference to bring together all of the members of our Taskforce to authoritatively speak on the anti-money laundering regime in the UK and how it really applies to solicitors in practice. Their unique experience of being involved in the anti-money laundering regime from both its development and its application makes this a conference not to be missed.

Who should attend?

This conference is aimed at Money Laundering Reporting Officers, their deputies and senior compliance staff from private sector law firms.

The sessions within the conference will assume that participants have a working knowledge of the UK's anti-money laundering legal framework and of the Law Society's practice note. To download the practice note, visit

www.lawsociety.org.uk/moneylaundering.

Break out sessions will cater to firms of all size and to different areas of practice.

Programme

08.45 - 09.30 **Registration and refreshments**

09.30 - 09.45 **Welcome**

Emma Oettinger, Policy Officer, The Law Society

AML overview

Where we are at in the UK and a short highlight of international developments

Alison Matthews, Chair of the Law Society's money laundering taskforce

09.45 - 10.15 **Training your staff**

How to make it interesting, answering the why?, technical and soft skills Nicola Boulton, Byrne & Partners

10.15 - 11.15 **Client due diligence**

The tricky bits, when is enough - enough, on going monitoring

Nick Cray, Lovells

Louise Delahunty, Simmons & Simmons;

11.15 - 11.45 **Break**



11.45 - 12.30

Breakout Session 1 - AML Systems

- Getting management and fee earner buy in
- Assessing different systems and tools
- Assessing risk
- Keeping records

Select one:

1. Small to medium firms

Speaker : Nicola Boulton, Byrne & Partners

2. Large firms

Speaker : Alison Matthews, Irwin Mitchell

3. International firms

Speaker : Nick Cray, Lovells

12.30 - 13.30

Reporting, LPP and Tipping off - an overview

Peter Burrell, Herbert Smith

Robin Booth, Burton Copeland

Christopher Murray, Kingsley Napley

13.30 - 14.45

Lunch

14.45 - 15.45

Breakout Session 2

Applying anti-money laundering requirements in your area of practice.

Select one:

1. Probate and trust practitioners

- Identifying beneficial owners in trusts
- Key risks for trust and probate work

Richard Bark-Jones, Morecrofts;

Emma Oettinger, The Law Society

2. Property practitioners

- Laundering through the downturn
- New methodologies and how to protect your practice
- Ongoing monitoring

Nicola Boulton, Byrne & Partners

Peter Rodd, Boys & Maughan

3. Commercial practitioners

- Warning signs and key risks
- Ongoing monitoring
- Scenarios

Peter Burrell, Herbert Smith;

Stephen Gentle, Kingsley Napley

4. International

- Cross border transactions
- Understanding new jurisdictions
- Applying different law
- Reporting across jurisdictions

Louise Delahunty, Simmons & Simmons;

Chris Murray, Kingsley Napley

15.45 - 16.00

Break

16.00 - 16.45

Regulation and Enforcement

Chair : Robin Booth, Burton Copeland

Simon Maylam, Senior Manager, SOCA

Ian Ryan, Finers Stephens Innocent;

Stephen Wilmott, Solicitors Regulation Authority;

16.45 - 17.00

Closing comments

17.00 - 18.00

Drinks reception

Anti-money laundering Annual Conference 2009

A Practitioner's Perspective



The Law Society

To book, complete this form and return it with payment to:

Events Unit (Room 1.01 - Ref AML), The Law Society, 113 Chancery Lane, London WC2A 1PL

Tel: 020 7316 5700 / Fax: 020 7316 5667s

Firm/Organisation: _____

Address: _____

Postcode: _____

DX address: _____

Delegate details

Title: _____ First Name: _____ Surname: _____

Position: _____ Email Address: _____

Tel No: _____ Fax No: _____

I would like to attend the 21 May conference

Breakout sessions

11.45-12.30 - AML systems (*select one*)

14.45-15.45 - Area of practice (*select one*)

- 1. Small to medium firms
- 2. Large firms
- 3. International firms

- 1. Probate and trust practitioners
- 2. Property practitioners
- 3. Commercial practitioners
- 4. International

If you are booking within two weeks of the conference please email to ensure there are places available - seminars@lawsociety.org.uk

Payment

Credit card and cheque payments accepted online or by post. Please make your cheque payable to **The Law Society**

£255 + VAT = £293.25 per delegate

Delegates

Total payable

£ Total payment

Card type Visa Maestro Delta MasterCard (we are unable to accept AMEX) (last 3 digits on reverse of card on signature strip)

Card number Security (only applies if paying by Maestro)

Expiry / Start date / Issue No (only applies if paying by Maestro)

Issue No: (Maestro only)

Cardholder name: _____ Trading name, company or organisation: _____

Cardholder address: _____

_____ Authorised by: _____ The Law Society

Special requirements

Please advise if you have any special requirements. _____

Office Use: MK03 / LB101 / ANML09

Terms and Conditions

- Event documentation will be distributed on the day.
- The Law Society reserves the right to amend or cancel this event. Should the event be cancelled a full refund of the registration fee will be made. The Law Society can accept no further liability if the event is cancelled, including no liability for any expenses incurred as a result of cancellation.
- If you have any special dietary requirements you must give us written notice at least 10 working days before the event and a supplement may be payable.
- Full payment is required unless you give written notice of cancellation at least 10 working days before the event, in which case you will be refunded the registration fee less an administration charge of £25 + VAT.
- You may substitute a colleague without charge, provided you confirm their full name in writing before the day of the event.
- The delegate and the firm/organisation are jointly and severally liable for payment of the fees due.
- The Law Society can accept no liability for any loss suffered by any person acting or refraining from action as a result of the material delivered during or in connection with the event.
- Acceptance by the Law Society of your booking will give rise to a legally binding contract between us on these terms and conditions.