



The Law Society

# Anti-money laundering training - AML in Practice and Advanced AML

April/May 2010 - regional seminars  
2 hours CPD

## Overview

Compliance with anti-money laundering obligations is one of the greatest challenges for solicitors in the UK today.

The Law Society is committed to assisting solicitors in meeting those obligations and providing a robust defence against money launderers attempting to abuse their professional services.

### AML in Practice

This course takes participants through a number of anti-money laundering scenarios found in daily practice. It covers:

- Identifying clients and beneficial owners,
- Considering questions of privilege, and
- Deciding whether to make a report to SOCA

### Advanced AML

This course builds on previous AML courses and looks to extend practitioners' experience with more complicated AML scenarios on identifying clients and beneficial owners, reviewing sanctions lists, establishing source of funds, and working through reporting requirements and questions of privilege with respect to suspicions of money laundering.

## Who should attend?

Persons appointed within a legal practice to undertake any of the following roles:

- Money laundering reporting officers (MLROs) and their deputies
- Directors of risk
- Finance directors
- Practice managers

Attendees should be familiar with the Law Society's practice note - to download the practice note, visit:  
[www.lawsociety.org.uk/moneylaundering](http://www.lawsociety.org.uk/moneylaundering)

Please note that these seminars were first held in Autumn 2009.

## The speakers

**Sue Mawdsley** is a partner in Legal Risk LLP. She is a solicitor with over 25 years experience and advises law firms - from multi-national to high street practices on risk management, claims prevention and regulatory compliance. She has particular expertise in anti-money laundering and advising firms on anti-money laundering problems and compliance strategies, as well as extensive experience of developing bespoke procedures and systems for law firms.

Sue is noted in Chambers UK as a 'Key Individual' in the firm who is 'diligent in her research... [in] advising on disclosure obligations under the Proceeds of Crime Act 2002.'

**Tania Tribius LLB AKC FRSA** is accredited by the Law Society as a Lexcel consultant and is the managing director of Tower Training; a nationally and internationally respected company which specialises in compliance and anti money laundering for lawyers.

Previously a partner and head of department in a London firm, Tania is a highly experienced solicitor who now leads a team of experts advising MLROs and managing partners of law firms in compliance and risk management.

## Dates and locations

### AML in Practice

Monday 19 April	Leicester	16.00-18.00
Wednesday 21 April	Coventry	14.00-16.00
Thursday 22 April	Liverpool	14.00-16.00
Monday 26 April	London	13.30-15.30
Wednesday 28 April	Cardiff	10.30-12.30
Wednesday 5 May	Maidstone	16.00-18.00
Thursday 6 May	Guildford	16.00-18.00
Tuesday 11 May	Sheffield	14.00-16.00
Thursday 20 May	Cambridge	13.30-15.30
Tuesday 25 May	London	13.30-15.30
Wednesday 26 May	Southampton	14.00-16.00
Wednesday 26 May	London	14.00-16.00
Thursday 27 May	Plymouth	16.00-18.00

### Advanced AML

Tuesday 20 April	Nottingham	16.00-18.00
Tuesday 20 April	Manchester	14.00-16.00
Monday 26 April	London	16.30-18.30
Tuesday 27 April	Bristol	16.00-18.00
Tuesday 27 April	Oxford	14.00-16.00
Wednesday 28 April	Cardiff	14.00-16.00
Tuesday 4 May	Brighton	16.00-18.00
Wednesday 19 May	York	14.00-16.00
Thursday 20 May	Cambridge	16.00-18.00
Tuesday 25 May	London	16.30-18.30

Registration - 30 minutes before each seminar

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# Anti-money laundering training - AML in Practice and Advanced AML

For enquiries please telephone 020 7316 5700 or email seminars@lawsociety.org.uk

Please note: bookings for this event cannot be taken by phone

## To book and pay

### Online

To register for this event online, please go to [www.lawsociety.org.uk/events](http://www.lawsociety.org.uk/events). Select the event you want to attend and click on the 'Booking instructions' tab. You can pay by credit card or cheque. **If you pay by credit card your booking will be confirmed immediately. If you reserve a place online and pay by cheque, your booking will be confirmed as soon as we receive the cheque.**

### By post

To register please complete the form below in CAPITAL LETTERS and return it with a cheque made payable to **The Law Society** to: Finance Department, The Law Society, Ipsley Court, Berrington Close, Redditch, Worcestershire B98 0TD or DX: 19114 Redditch.

Title: \_\_\_\_\_ First name: \_\_\_\_\_ Surname: \_\_\_\_\_

Position: \_\_\_\_\_ Organisation: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_ Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

DX: \_\_\_\_\_ Email: \_\_\_\_\_

## Special requirements

Please advise if you have any special requirements: \_\_\_\_\_

## Dates and locations (please select date)

### AML in practice

- |   |             |             |
|---|-------------|-------------|
| <input type="checkbox"/> Monday 19 April    | Leicester   | 16.00-18.00 |
| <input type="checkbox"/> Wednesday 21 April | Coventry    | 14.00-16.00 |
| <input type="checkbox"/> Thursday 22 April  | Liverpool   | 14.00-16.00 |
| <input type="checkbox"/> Monday 26 April    | London      | 13.30-15.30 |
| <input type="checkbox"/> Wednesday 28 April | Cardiff     | 10.30-12.30 |
| <input type="checkbox"/> Wednesday 5 May    | Maidstone   | 16.00-18.00 |
| <input type="checkbox"/> Thursday 6 May     | Guildford   | 16.00-18.00 |
| <input type="checkbox"/> Tuesday 11 May     | Sheffield   | 14.00-16.00 |
| <input type="checkbox"/> Thursday 20 May    | Cambridge   | 13.30-15.30 |
| <input type="checkbox"/> Tuesday 25 May     | London      | 13.30-15.30 |
| <input type="checkbox"/> Wednesday 26 May   | Southampton | 14.00-16.00 |
| <input type="checkbox"/> Wednesday 26 May   | London      | 14.00-16.00 |
| <input type="checkbox"/> Thursday 27 May    | Plymouth    | 16.00-18.00 |

### Advanced AML

- |   |            |             |
|---|------------|-------------|
| <input type="checkbox"/> Tuesday 20 April   | Nottingham | 16.00-18.00 |
| <input type="checkbox"/> Tuesday 20 April   | Manchester | 14.00-16.00 |
| <input type="checkbox"/> Monday 26 April    | London     | 16.30-18.30 |
| <input type="checkbox"/> Tuesday 27 April   | Bristol    | 16.00-18.00 |
| <input type="checkbox"/> Tuesday 27 April   | Oxford     | 14.00-16.00 |
| <input type="checkbox"/> Wednesday 28 April | Cardiff    | 14.00-16.00 |
| <input type="checkbox"/> Tuesday 4 May      | Brighton   | 16.00-18.00 |
| <input type="checkbox"/> Wednesday 19 May   | York       | 14.00-16.00 |
| <input type="checkbox"/> Thursday 20 May    | Cambridge  | 16.00-18.00 |
| <input type="checkbox"/> Tuesday 25 May     | London     | 16.30-18.30 |

Joining instructions will be sent by email one week before the event.

## Registration fee

£125 plus VAT =£146.88 per delegate

No. of delegates

Total payment

Office Use:

MK03 - LB101 - CEV0002

### Terms and Conditions

- Event documentation will be distributed on the day.
- The Law Society reserves the right to amend or cancel this event. Should the event be cancelled a full refund of the registration fee will be made. The Law Society can accept no further liability if the event is cancelled, including no liability for any expenses incurred as a result of cancellation.
- If you have any special dietary requirements you must give us written notice at least 10 working days before the event and a supplement may be payable.
- Full payment is required unless you give written notice of cancellation at least 10 working days before the event, in which case you will be refunded the registration

fee less an administration charge of £25 + VAT.

- You may substitute a colleague without charge, provided you confirm their full name in writing before the day of the event.
- The delegate and the firm/organisation are jointly and severally liable for payment of the fees due.
- The Law Society can accept no liability for any loss suffered by any person acting or refraining from action as a result of the material delivered during or in connection with the event.
- Acceptance by the Law Society of your booking will give rise to a legally binding contract between us on these terms and conditions.

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